

01-23-02

Approved for use through 10/31/2002. OMB 0651-0032

11/07/01
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U.S. PTOPlease type a plus sign (+) inside this box [+] [+]

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UTILITY PATENT APPLICATION TRANSMITTAL Only for new nonprovisional applications under 37 CFR 1.53(b)		Attorney Docket No.:	4048-00100
		First Inventor or Application Identifier	
		Title	Method and Device for Cleaning Optical Connectors
		Express Mail Label No.	EL 921453301 US

I. APPLICATION ELEMENTS

See MPEP chapter 600 concerning utility patent application contents.

1. Fee Transmittal Form (e.g. PTO/SB17)
(Submit an original, and a duplicate for fee processing)
2. Applicant claims small entity status.
See (Submit an original, and a duplicate for fee processing)
3. Specification [Total Pages]:
(preferred arrangement set forth below)
- Descriptive title of the invention
- Cross References to Related Applications
- Statement Regarding Fed sponsored R & D
- Reference to Microfiche Appendix
- Background of the Invention
- Brief Summary of the Invention
- Brief Description of the Drawings (if filed)
- Detailed Description
- Claims(s)
- Abstract of the Disclosure
4. Drawing(s) (35 USC 113) Total Sheets: 2
5. Oath or Declaration Total Pages 2
a. Newly executed (original or copy)
b. Copy from a prior application (37 CFR 1.63(d))
(for continuation/divisional with Box 17 completed)
i. **DELETION OF INVENTOR(S)**
*Signed statement attached deleting inventor(s)
Named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).*
6. Application Data Sheet. See 37 CFR 1.76

18. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

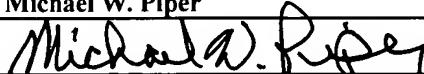
 Continuation Divisional Continuation-in-part (CIP) of prior application No.: _____

Prior application information: Examiner: _____

Group Art Unit: _____

For CONTINUATION OR DIVISIONAL APPS only: The entire disclosure of the prior application, from which an oath or declaration is supplied under Box 5b is considered a part of the disclosure of the accompanying continuation or divisional application and is hereby incorporated by reference. The incorporation can only be relied upon when a portion has been inadvertently omitted from the submitted application parts.

19 CORRESPONDENCE ADDRESS

<input checked="" type="checkbox"/> Customer Number or Bar Code Label			or <input checked="" type="checkbox"/> Correspondence address below		
NAME	Jessica Smith 024587				
ADDRESS	PATENT TRADEMARK OFFICE Alcatel				
	1000 Coit Road, MS LEGL2				
CITY	Plano	STATE	Texas	ZIP CODE	75075
COUNTRY	USA	TELEPHONE	(972) 519-5103	FAX	(972) 477-9329
Name (Print Type)	Michael W. Piper		Registration No. (Attorney/Agent)	39,800	
Signature			Date: November 7, 2001		

 JC828 U.S. PTO
10/05/2001


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**FEE TRANSMITTAL
FY 2002**

Patent fees are subject to annual revision.

FEE TRANSMITTAL FY 2002 <small>Patent fees are subject to annual revision.</small>		Complete if Known	
		Application Number	*
		Filing Date	
		First Named Inventor	Kechuan Liu, et al.
		Group Art Unit	*
		Examiner Name	*
TOTAL AMOUNT OF PAYMENT	(\$) 1,050.00	Attorney Docket Number	4048-00100

METHOD OF PAYMENT

1. The Commissioner is hereby authorized to charge indicated fees and credit any overpayment to:
Deposit Account Number: 50-1515
Deposit Account Name: Conley, Rose & Tayon, P.C.

Charge Any Additional Fee Required
Under 37 CFR 1.16 and 1.17

Applicant claims small entity status.
See 37 CFR 1.27
2. **Payment Enclosed:**

Check Credit Card Money Order Other

FEES CALCULATION

1. BASIC FILING FEE

Large Entity Fee	Small Entity Fee	Fee Description	Fee Paid
Code (\$)	Code (\$)		
101 740	201 370	Utility filing Fee	\$740.00
106 330	206 165	Design filing fee	\$
107 510	207 255	Plant filing fee	\$
108 740	208 370	Reissue filing fee	\$
114 160	214 80	Provisional filing fee	\$

SUBTOTAL (1) \$740.00

2. EXTRA CLAIM FEES

				Fee from below		
		Extra Claims				
Total Claims	35	- 20** = 15	x	18.00	=	\$270.00
Independent Claims	2	3** = 0	x	84.00	=	
Multiple Dependent				280.00	=	\$ 0.00

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description
103	18	203	9	Claims in excess of 20
102	84	202	42	Independent Claims in excess of 3
104	280	204	140	Multiple dependent claim, if not paid
109	84	209	42	** Reissue independent claims over original patent
110	18	210	9	** Reissue claims in excess of 20 and over original patent

SUBTOTAL (2) \$270.00

*** or number previously paid, if greater; For Reissues, see above*

Complete if Known

Application Number	*			
Filing Date				
First Named Inventor	Kechuan Liu, et al.			
Group Art Unit	*			
Examiner Name	*			
Attorney Docket Number	4048-00100			
FEE CALCULATION (continued)				
3. ADDITIONAL FEES				
Large Entity Fee Code (\$)	Small Entity Fee Code (\$)	Fee Description	Fee Paid	
105	130	205	Surcharge - late filing fee or oath	\$
127	50	227	Surcharge - late provisional filing fee or cover sheet	\$
139	130	139	Non-English specification	\$
147	2,520	147	For filing a request for <i>ex parte</i> reexamination	\$
112	920*	112	920* Requesting publication of SIR prior to Examiner action	\$
113	1,840*	113	1,840* Requesting publication of SIR after Examiner action	\$
115	110	215	Extension for reply within first month	\$
116	400	216	Extension for reply within second month	\$
117	920	217	Extension for reply within third month	\$
118	1,440	218	Extension for reply within fourth month	\$
128	1,960	228	Extension for reply within fifth month	\$
119	320	219	Notice of Appeal	\$
120	320	220	Filing a brief in support of an appeal	\$
121	280	221	Request for oral hearing	\$
138	1,510	138	1,510 Petition to institute a public use proceeding	\$
140	110	240	55 Petition to revive - unavoidable	\$
141	1,280	241	640 Petition to revive - unintentional	\$
142	1,280	242	640 Utility issue fee (or reissue)	\$
143	460	243	230 Design issue fee	\$
144	620	244	310 Plant issue fee	\$
122	130	122	130 Petitions to the Commissioner	\$
123	50	123	50 Petitions related to provisional applications	\$
126	180	126	180 Submission of Information Disclosure Stmt	\$
581	40	581	40 Recording each patent assignment per property (times number of properties)	\$40.00
146	740	246	370 Filing a submission after final rejection (37 CFR § 1.129(a))	\$
149	740	249	370 For each additional invention to be examined (37 CFR § 1.129(b))	\$
179	740	279	370 Request for Continued Examination (RCE)	\$
169	900	169	900 Request for expedited examination of a design application	\$
Other fee (specify)				\$

*Reduced by Basic Filing Fee Paid

SUBTOTAL (3) \$40.00

SUBMITTED BY		Complete (if applicable)			
Name (Print/Type)	Michael W. Piper	Registration No. (Attorney/Agent)	39,800	Telephone	(972) 731-2288
Signature				Date 11/7/01	

WARNING: Information on this form may become public. Credit card information should not be included on this form. Provide credit card information and authorization on PTO-2038.

**REQUEST AND CERTIFICATION
UNDER
35 U.S.C. 122(b)(2)(B)(i)**

First Named Inventor :		Kechuan Liu, et al.			
Title:	Method and Device for Cleaning Optical Connectors				
Attorney Docket Number		4048-00100			
Express Mail Label No.		EL 921453301 US			

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing. I hereby request that the attached application not be published under 35 U.S.C. 122(b).

11/7/2001

Date

Michael W. Piper

Signature

Michael W. Piper
Registration Number 39,800

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under a multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of the filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**